**PME Investimentos – Sociedade de Investimento, S.A.**

**Know Your Customer Form – Social Innovation Fund (FIS)**

**Co-investors (Natural Persons)**

This form is aimed at the identification of co-investors that are natural persons that present applications for investment operations before the Social Innovation Fund (FIS) and comprehends **two Parts, with the purpose of the first of which being the identification of** the Co-investor (**Part 1**).

**Part 2** must be signed by the Co-investor submitting the application, and the Form must be provided to PME Investimentos in its entirety, fully filled in, duly initialized in all pages and Annexes, when the application of Social Innovation Fund (FIS).

Annexes which are copies of original documents must be submitted as certified copies issued by a public notary, a lawyer, a solicitor or by any other person legally authorized to do so.

When there is more than one Co-investor, a separate Form should be submitted for each Co-investor.

**The original of the present Form, together with the respective Annexes, should be provided to PME Investimentos within 15 days of the submission of the investment project’s application to the Social Innovation Fund (FIS).**

***Any changes to the information set out in in this Form shall be communicated to PME Investimentos within 15 days of their occurrence through the issuing and sending of an updated Form, together with the respective Annexes and any documents necessary to evidence the changes occurred****.*

**PART 1.** – Co-investor Identification

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Full name: |  | | | | | | |
| Date of birth: |  | | | | | | |
| Identification document: *[Attached]* | Nature (Government ID, Passport) |  | | Number | |  |
| Validity Date |  | | Issuing Entity | |  |
| Nationality identified in the document provided: | | | | |  |
| Taxpayer number or equivalent number issued by a foreign competent authority: |  | | Place of Birth: | |  | |
| Job: |  | | | | | |
| Employer: |  | | | | | |
| Full address of the permanent residency: |  | | | | | | |
| Tax address, when different from that of the permanent residency: |  | | | | | | |

Please select the relevant option:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  |  | | | | |
|  | **I am a “Politically Exposed Person” (PEP)** and**:** | | | | |
| Office that qualifies this person as a PEP |  | |
|  | | | |
|  | **I am a “Close Family Member” of a Politically Exposed Person (PEP)** and**:** | | | | |
| Family relationship to the PEP |  | |
| Full name: |  | |
| Date of Birth: |  | |
| Place of Birth: |  | |
| Nationality: |  | |
| Office that qualifies this person as a PEP |  | |
|  |  | |
|  |  | | | | |
|  | **I am a “Close Associate” of a Politically Exposed Person (PEP)** and**:** | | | | |
| Nature of the Business/  Corporate Relationship |  | |
| Full name: |  | |
| Date of Birth: |  | |
| Place of Birth: |  | |
| Nationality: |  | |
| Office that qualifies this person as a PEP |  | |
|  |  | |
| Nature of the Business/  Corporate Relationship |  | |
| Full name: |  | |
| Date of Birth: |  | |
| Place of Birth: |  | |
| Nationality: |  | |
| Office that qualifies this person as a PEP |  | |
|  |  | |
| Nature of the Business/  Corporate Relationship |  | |
| Full name: |  | |
| Date of Birth: |  | |
| Place of Birth: |  | |
| Nationality: |  | |
| Office that qualifies this person as a PEP |  | |
|  |  | |
|  |  | | | | |
|  | **I am not a “Politically Exposed Person” (PEP)**. | | | | |

**PART 2** – Co-investor’s Certification

This form comprehends \_\_\_\_ ( \_\_\_\_\_\_\_\_\_ ) pages and \_\_\_\_ ( \_\_\_\_\_\_\_\_\_ ) Annexes that, as a whole, fully and integrally identify the Co-investor as well the latter’s capacity as a Politically Exposed Person, Close Family of Politically Exposed Persons or Close Associates of Politically Exposed Persons.

The personal data provided herein shall be treated by PME Investimentos – Sociedade de Investimento, S.A., for the exclusive purpose of the fulfilment of PME Investimentos’ legal obligations in respect of the prevention and countering of money laundering and terrorism financing. These data will be kept for the legally established period and are subject to an updating obligation by their owner if a change occurs. If any doubts arise, please do not hesitate to contact us directly by e-mail to [dpo@pmeinvestimentos.pt](mailto:dpo@pmeinvestimentos.pt) or by any means of contact available on our website [www.pmeinvestimentos.pt](http://www.pmeinvestimentos.pt).

|  |  |  |
| --- | --- | --- |
| (Name of the Co-investor) |  |  |
|  |  |  |
| (Signature of the Co-investor) |  | (Place and Date) |

***Annexes:***

*Concerning the Co-investor*

1. Valid Government ID or passport with photo
2. Taxpayer number or equivalent number issued by a foreign competent authority

**Definitions**

**Definition of Politically Exposed Person (PeP), as well as Close Family members and Close Associates.**

* 1. **Politically Exposed Person (PeP)** are the natural persons who hold or have held in the last 12 months, in any country or jurisdiction, the following top level public jobs:
     1. Heads of state, heads of government and members of government, namely, ministers, secretaries and under-secretaries of State or equivalent.
     2. Members of Parliament;
     3. Judges of the Constitutional Court, of the Supreme Court, of the Supreme Administrative Court, of the Court of Auditors, and members of the supreme courts, constitutional courts and other high-level judicial bodies from other states and international organizations;
     4. Representatives of the Republic and members of self-governing bodies of autonomous regions;
     5. Ombudsman, State Councilors, and members of the National Data Protection Commission, of the Judicial Superior Council, of the Administrative and Fiscal Courts Superior Council, of the Attorney-General, of the Public Prosecution’ Superior Council, the National Defense Superior Council and the Regulatory Authority for the Media;
     6. Heads of diplomatic missions and consular posts;
     7. Senior Officers of the Armed Forces in service;
     8. Presidents and executive councilors of city councils;
     9. Members of management and supervisory bodies of central Banks, including the European Central Bank;
     10. Members of management and supervisory of public institutes, public foundations, public entities and independent administrative bodies, independently of the manner in which they were appointed.
     11. Members of management and supervisory bodies of public sector companies, including the business, regional and local sectors.
     12. Members of the executive management bodies of political parties of national or regional implementation;
     13. Managers, assistant managers and members of the board of directors or persons performing equivalent functions in an international organization.
  2. **Close Family members** are:
     1. Direct ascendants and descendants in a straight line from Politically Exposed Persons.
     2. The spouses or partners in cohabitation of Politically Exposed Persons and of the persons referred to in the preceding paragraph.
  3. **Persons Known to be Close Associates**, are:
     1. Any natural person, known as a co-owner with a Politically Exposed Person, of a legal person or of a legal arrangement.
     2. Any person who owns share capital or holds voting rights of a legal person or part of a legal arrangement, known for having as a beneficial owner a Politically Exposed Person.
     3. Any natural person known as having corporate, commercial or professional relations with a Politically Exposed Person.